

**Part 2B of Form ADV – Brochure Supplement**  
**Dated May 6, 2022**

**MATTHEW BROOKE MULLOWNEY, CRD #6253631**



**Doing Business As**



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Saint Francisville, LA 70775

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This brochure supplement provides information about the Investment Adviser Representative named above that supplements our ADV Part 2A brochure. Please contact the CHIEF COMPLIANCE OFFICER by telephone at (360) 866-2345 or by email at [riacompliance@financialadvocates.com](mailto:riacompliance@financialadvocates.com) if you did not receive our firm's brochure or if you have any questions about the contents of this supplement.

You are encouraged to review the Brochure and this Brochure Supplements for more information on the qualifications of our firm and our associates. Additional information about Financial Advocates Investment Management and our associates is available on the SEC's Investment Advisor Public Disclosure website at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov).

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ITEM 2. EDUCATIONAL BACKGROUND AND BUSINESS EXPERIENCE

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**Year of Birth:** 1982

**Formal Education after High School:**

- Scottsdale Culinary Institute - Associates Degree Occupational Studies [2002]
- Culinary Institute of America

**Business Background for Previous Five Years:**

- Financial Advocates Investment Management, LLC, DBA Cadillac Wealth Advisors – Investment Advisor Representative, 12/02/2020 to present.
- LPL Financial Corporation, DBA Cadillac Wealth Advisors – Registered Representative, 12/02/2020 to present.
- Financial Advocates Investment Management, LLC, DBA Vintage Wealth Advisors – Investment Advisor Representative, 08/2019 to 12/01/2020.
- LPL Financial Corporation, DBA Vintage Wealth Advisors – Registered Representative, 08/2019 to 12/01/2020.
- Woodbury Financial Services, Financial Advisor – 01/2016 to 08/2019

- Wells Fargo Advisors LLC, Financial Advisor – 09/2014 to 01/2016
- Edward Jones, Financial Advisor – 10/2013 to 04/2014

**License/Exams:**

- Series 7 [2013] & 66 [2014]
- Insurance – Life, Accident/Health, & Variable [2014]

**Professional Designations:**

- **Certified Plan Fiduciary Advisor CPFA® (2015)**
  1. National Association of Plan Advisors
  2. Prerequisites/Experience Required: None
  3. Educational Requirements: Must pass the Certified Plan Fiduciary Advisor examination. Final certification exam (proctored, closed-book).
  4. Continuing Education: 10 hours each year.

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**ITEM 3. DISCIPLINARY INFORMATION**

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There are no legal or disciplinary events material to your evaluation to disclose.

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**ITEM 4. OTHER BUSINESS ACTIVITIES**

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**LPL Financial, LLC:** This individual named in this Brochure Supplement is a registered representative (RR) of LPL Financial (LPL), member of the Financial Industry Regulatory Authority, Inc. ("FINRA"), the Securities Investor Protection Corporation ("SIPC"). This presents a conflict of interest to the extent that the RR recommends that a client invest in a security which results in a commission being paid to the RR.

In connection with the transition of this RR's clients to LPL's custodial platform and their association as an RR of LPL, the RR may have received or potentially receive financial transition support from LPL. We encourage you to discuss any such conflicts of interest with the RR before making a decision to custody your assets at LPL Financial.

**Insurance Agent:** This individual is an insurance agent (agent) appointed with various insurance companies. This presents a conflict of interest to the extent that the agent recommends that a Client purchase insurance products which results in a commission being paid to them through any insurance company that they are affiliated.

Clients are under no obligation to act upon any recommendations or affect any transactions through the individual named above if they decide to follow the recommendations.

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**ITEM 5. ADDITIONAL COMPENSATION**

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See Item 4 above, we have nothing additional to disclose in this regard.

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**ITEM 6. SUPERVISION**

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The Chief Compliance Officer or one of FAIM's designated compliance officers, oversees and monitors the IAR's activities with FAIM on a regular basis. The Chief Compliance Officer or a designated compliance officer reviews all outgoing correspondence for written financial advice that the IAR provides to clients. The phone number for any of these compliance officers is 360.866.2345.